

# A 2-ADIC CONTROL THEOREM FOR MODULAR CURVES

NARASIMHA KUMAR

ABSTRACT. We study the behaviour of ordinary parts of the homology modules of modular curves, associated to a decreasing sequence of congruence subgroups  $\Gamma_1(N2^r)$  for  $r \geq 2$ , and prove a control theorem for these homology modules.

## 1. INTRODUCTION

Hida theory studies the modular curves associated to the following congruence subgroups, for primes  $p \geq 5$  and  $(p, N) = 1$ ,

$$\cdots \subset \Gamma_1(Np^r) \subset \cdots \subset \Gamma_1(Np). \quad (*)$$

Let  $Y_r$  denote the Riemann surface associated to the congruence subgroup  $\Gamma_1(Np^r)$ . One of the important results in Hida theory [3] is that the projective limit of ordinary parts of the homology modules, i.e.,  $W^{\text{ord}} := \varprojlim_r H_1(Y_r, \mathbb{Z}_p)^{\text{ord}}$ , is a free  $\Lambda$ -module of finite rank and

$$W^{\text{ord}}/\mathfrak{a}_r W^{\text{ord}} = H_1(Y_r, \mathbb{Z}_p)^{\text{ord}}, \quad (**)$$

for all  $r \geq 1$ , where  $\mathfrak{a}_r$  denotes the augmentation ideal of  $\mathbb{Z}[[1 + p^r \mathbb{Z}_p]]$  and  $\Lambda = \mathbb{Z}_p[[1 + p \mathbb{Z}_p]]$ . In [1], Emerton gave a proof of these results above for primes  $p \geq 5$ , using algebraic topology of the Riemann surfaces  $Y_r$ .

Emerton's proof for  $p \geq 5$  holds for  $p = 3$  with  $N > 1$  verbatim, but for  $p = 2$  we show that similar results hold only after passing to smaller congruence subgroups. Moreover, there is no restriction on  $N$ , i.e.,  $N$  can be equal to 1 (unlike when  $p = 3$ ) (cf. Theorem 5.2 in the text). As a consequence of these results, we proved control theorems for ordinary 2-adic families of modular forms, see [2]. Some amount of calculations will be omitted and the reader should refer to those in [1] for more details.

## 2. PRELIMINARIES

Throughout this note, let  $p = 2$ ,  $q = 4$ , and  $N \in \mathbb{N}$  such that  $(p, N) = 1$ . We look at the modular curves associated to the following congruence subgroups

$$\cdots \subset \Gamma_1(Np^r) \subset \cdots \subset \Gamma_1(Nq).$$

If we take the homology with  $\mathbb{Z}$ -coefficients of the tower of modular curves, we get a tower of finitely generated free abelian groups

$$\cdots \rightarrow \Gamma_1(Np^r)^{\text{ab}} \rightarrow \cdots \rightarrow \Gamma_1(Nq)^{\text{ab}}, \quad (2.1)$$

because for  $r \geq 2$ ,  $H_1(\Gamma_1(Np^r) \backslash \mathbb{H}, \mathbb{Z}) = \Gamma_1(Np^r)^{\text{ab}}$ , where  $\mathbb{H}$  denotes the upper half-plane. To understand (2.1), we introduce the congruence subgroups for  $r \geq 2$ :

$$\Phi_r^2 = \Gamma_1(Nq) \cap \Gamma_0(p^r).$$

Clearly, we have  $\Gamma_1(Np^r) \subset \Phi_r^2 \subset \Gamma_1(Nq)$  and  $\Gamma_1(Np^r)$  is a normal subgroup of  $\Phi_r^2$ . For  $r \geq 2$ , we define  $\Gamma_r := \text{Ker}(\mathbb{Z}_p^\times \twoheadrightarrow (\mathbb{Z}_p/p^r\mathbb{Z}_p)^\times)$ , which is a subgroup of  $\Gamma_2$  with index  $p^{r-2}$ . Set  $\Gamma := \Gamma_2$ .

We define a morphism of groups

$$\Phi_r^2 \xrightarrow{\eta_r} \Gamma/\Gamma_r$$

via the formula

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \longrightarrow d \bmod \Gamma_r. \quad (2.2)$$

**Lemma 2.1.** *The map  $\eta_r$  is surjective.*

*Proof.* Given a  $\bar{d} \in \Gamma/\Gamma_r$ , we can take a lift  $d$  of  $\bar{d}$  of the form  $1 + kqN$  for some  $k \in \mathbb{Z}$ , because for any  $\alpha, \beta \in \Gamma$ ,  $\alpha \equiv \beta \pmod{\Gamma_r}$  if and only if  $\alpha - \beta \in p^r\mathbb{Z}_p$ . Now, take  $c$  to be  $Np^r$ . Clearly  $(c, d) = 1$ , and hence there exists  $a, b \in \mathbb{Z}$  such that  $ad - bc = 1$ . We see that  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Phi_r^2$  and  $\eta_r(\alpha) = \bar{d}$ .  $\square$

**Remark 2.2.** *The restriction of  $\eta_r$  to  $\Phi_r^2 \cap \Gamma^0(p)$ , which we denote by  $\text{Res}(\eta_r)$ , is also surjective onto  $\Gamma/\Gamma_r$ . Moreover, we have the following commutative diagram*

$$\begin{array}{ccc} \Phi_{r+1}^2 & \xrightarrow{\eta_{r+1}} & \Gamma/\Gamma_{r+1} \\ \downarrow \wr & \downarrow t^{-1}-t & \downarrow \\ \Phi_r^2 \cap \Gamma^0(p) & \xrightarrow{\text{Res}(\eta_r)} & \Gamma/\Gamma_r \end{array}$$

where the group  $\Gamma^0(p) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \mid b \equiv 0 \pmod{2} \}$  and  $t = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ .

By Lemma 2.1, we have the following short exact sequence of groups

$$1 \rightarrow \Gamma_1(Np^r) \rightarrow \Phi_r^2 \xrightarrow{\eta_r} \Gamma/\Gamma_r \rightarrow 1.$$

The action of  $\Phi_r^2$  on  $\Gamma_1(Np^r)$  by conjugation induces an action of  $\Phi_r^2/\Gamma_1(Np^r) = \Gamma/\Gamma_r$  on  $\Gamma_1(Np^r)^{\text{ab}}$ . Thus  $\Gamma$  acts naturally on  $\Gamma_1(Np^r)^{\text{ab}}$ . The morphisms in the chain

$$\cdots \rightarrow \Gamma_1(Np^r)^{\text{ab}} \rightarrow \cdots \rightarrow \Gamma_1(Nq)^{\text{ab}}$$

are clearly  $\Gamma$ -equivariant.

If  $r \geq s > 1$ , we denote by  $\Phi_r^s$  the subgroup of  $\Phi_r^2$  containing  $\Gamma_1(Np^r)$  whose quotient by  $\Gamma_1(Np^r)$  equals  $\Gamma_s/\Gamma_r$ , i.e.,  $\Phi_r^s := \Gamma_1(Np^s) \cap \Gamma_0(p^r)$ . Moreover, we have

$$\Gamma_1(Np^r)^{\text{ab}} \rightarrow \Phi_r^{s\text{ab}} \rightarrow \Gamma_s/\Gamma_r \rightarrow 1.$$

For any  $s > 1$ , let  $\gamma_s$  denote a topological generator of  $\Gamma_s$ . Then the augmentation ideal  $\mathfrak{a}_s$  of  $\Lambda = \mathbb{Z}_p[[\Gamma]]$  is a principal ideal generated by  $\gamma_s - 1$ . Similarly, for  $i > 0$ ,  $\Gamma_{s+i} = \langle \gamma_s^{p^i} \rangle$  and  $\mathfrak{a}_{s+i} = (\gamma_s^{p^i} - 1)$ . Clearly, for any  $r \geq s > 1$ , the augmentation ideal of  $\mathbb{Z}[\Gamma_s/\Gamma_r]$  is  $\mathfrak{a}_s$ , and

$$\mathfrak{a}_s \Gamma_1(Np^r)^{\text{ab}} = [\Phi_r^s, \Gamma_1(Np^r)]/[\Gamma_1(Np^r), \Gamma_1(Np^r)] \subset \Gamma_1(Np^r)^{\text{ab}},$$

and the last inclusion follows since  $\Gamma_1(Np^r)$  is a normal subgroup of  $\Phi_r^s$ . The extension

$$1 \rightarrow \Gamma_1(Np^r)/[\Phi_r^s, \Gamma_1(Np^r)] \rightarrow \Phi_r^s/[\Phi_r^s, \Gamma_1(Np^r)] \rightarrow \Gamma_s/\Gamma_r \rightarrow 1$$

is a central extension of a cyclic group, thus the middle group is abelian, implying that

$$[\Phi_r^s, \Phi_r^s] = [\Phi_r^s, \Gamma_1(Np^r)].$$

The equality holds because of  $\Phi_r^s \supseteq \Gamma_1(Np^r)$  and the fact that the commutator subgroup of the group  $\Phi_r^s/[\Phi_r^s, \Gamma_1(Np^r)]$  is trivial.

**Remark 2.3.** *The following diagram is commutative*

$$\begin{array}{ccc} \frac{\Phi_r^s \cap \Gamma^0(p)}{\Gamma_1(Np^r) \cap \Gamma^0(p)} & \xrightarrow{i} & \frac{\Phi_r^s}{\Gamma_1(Np^r)} \\ & \searrow \sim & \downarrow \sim \\ & & \frac{\Gamma_s}{\Gamma_r}. \end{array}$$

*The diagonal map is an isomorphism, by Remark 2.2. Since  $\Gamma_s/\Gamma_r$  is finite, we see that the inclusion  $i$  is an isomorphism. This remark is useful in proving Lemma 3.6.*

To prove Theorems 4.1 and 5.2, we need to understand the images of these morphisms

$$\Gamma_1(Np^r)^{\text{ab}} \rightarrow \Gamma_1(Np^s)^{\text{ab}}$$

in the chain of homology groups as in (2.1). Unfortunately, we do not have a good characterization these images for  $r \geq s > 1$  in general, and so we cannot get a good description of the projective limit. This morphism can be factored as

$$\Gamma_1(Np^r)^{\text{ab}} \twoheadrightarrow \Gamma_1(Np^r)^{\text{ab}}/\mathfrak{a}_s \hookrightarrow \Phi_r^{s, \text{ab}} \longrightarrow \Gamma_1(Np^s)^{\text{ab}},$$

and the problem is that the second and third morphisms may not be isomorphisms, in general.

Hida observed that if one applies a certain projection operator arising from the Atkin  $U$ -operator to all these modules then they become isomorphisms, in which case we have a good control over the images of the morphisms in (2.1). So we now define the Atkin  $U$ -operator and study their properties.

### 3. HECKE OPERATORS

Suppose  $G, H$  are two subgroups of a group  $T$ , and  $t \in T$  such that  $[G : t^{-1}Ht \cap G] < \infty$ . Then one has

$$G^{\text{ab}} \xrightarrow{V} (t^{-1}Ht \cap G)^{\text{ab}} \xrightarrow{\sim} (H \cap tGt^{-1})^{\text{ab}} \longrightarrow H^{\text{ab}},$$

where  $V$  is the transfer map, the isomorphism is given by conjugating with  $t$ , and the last morphism is induced by  $H \cap tGt^{-1} \hookrightarrow H$ . Taking the composition of all these we obtain a morphism

$$[t] : G^{\text{ab}} \rightarrow H^{\text{ab}},$$

the ‘‘Hecke operator’’ corresponding to  $t$ .

In our case, take  $T = \text{GL}_2(\mathbb{Q})$ ,  $G = H =$  a congruence subgroup of  $\text{SL}_2$  of level divisible by  $p$ , and  $t = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ . We denote the corresponding Hecke operator by  $U_2$ . For  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Phi_r^s$ , we see that

$$t^{-1}At = \begin{pmatrix} a & bp \\ c/p & d \end{pmatrix} \quad \text{and} \quad tAt^{-1} = \begin{pmatrix} a & b/p \\ cp & d \end{pmatrix}.$$

**Remark 3.1.** *Observe that  $(1, 1)$ ,  $(2, 2)$ -entries of  $A$  and of  $t^{\pm 1}At^{\mp 1}$  are the same.*

It is easy to see that  $t^{-1}\Phi_r^s t \cap \Phi_r^s = \Phi_r^s \cap \Gamma^0(p)$ ,  $\Phi_r^s \cap t\Phi_r^s t^{-1} = \Phi_{r+1}^s$ , where the group  $\Gamma^0(p)$  is as in Remark 2.2. Thus, the Atkin  $U$ -operator (resp.  $U'$ -operator) is by definition the composition

$$\Phi_r^{s\text{ ab}} \xrightarrow{V} (\Phi_r^s \cap \Gamma^0(p))^{\text{ab}} \xrightarrow[t-t^{-1}]{} \Phi_{r+1}^{s\text{ ab}} \longrightarrow \Phi_r^{s\text{ ab}}, \quad (3.1)$$

(resp., the composition of the first two of above morphisms).

**Lemma 3.2.** *Suppose that  $r \geq s > 1, r' \geq s' > 1, r \geq r', s \geq s'$ , so that  $\Phi_r^s \subset \Phi_{r'}^{s'}$ . Then the following diagram commutes*

$$\begin{array}{ccc} \Phi_r^{s\text{ ab}} & \longrightarrow & \Phi_{r'}^{s'\text{ ab}} \\ \downarrow U' & & \downarrow U' \\ \Phi_{r+1}^{s\text{ ab}} & \longrightarrow & \Phi_{r'+1}^{s'\text{ ab}}. \end{array}$$

Thus, the Atkin  $U$ -operator commutes with the morphism  $\Phi_r^{s\text{ ab}} \rightarrow \Phi_{r'}^{s'\text{ ab}}$ .

*Proof.* The proof is similar to the proof of [1, Lem. 3.1]. The final statement follows from (3.1), since the Atkin  $U$ -operator, by definition, is the composition of  $U'$ -operator and the morphism induced by the inclusion of groups  $\Phi_{r+1}^s \subset \Phi_r^s$ .  $\square$

**Corollary 3.3.** *For  $r \geq s > 1$ , each  $\Phi_r^{s\text{ ab}}$  is a  $\mathbb{Z}[U]$ -module via the action of  $U$  and morphisms between these modules (arising from the inclusions) are morphisms of  $\mathbb{Z}[U]$ -modules. Hence, the cokernels of these morphisms acquire a  $\mathbb{Z}[U]$ -module structure.*

Suppose  $\pi$  denote the morphism  $\pi : \Phi_r^{s\text{ ab}} \longrightarrow \Phi_{r-1}^{s\text{ ab}}$  and  $\pi'$  for the morphism  $\pi' : \Phi_{r+1}^{s\text{ ab}} \longrightarrow \Phi_r^{s\text{ ab}}$ . Then, by Lemma 3.2, we have

$$U' \circ \pi = \pi' \circ U' = U \in \text{End}_{\mathbb{Z}}(\Phi_r^{s\text{ ab}}). \quad (3.2)$$

By the definition of  $U'$ , we see that  $\pi \circ U' = U \in \text{End}_{\mathbb{Z}}(\Phi_{r-1}^{s\text{ ab}})$ .

By Corollary 3.3, the cokernel of the morphism  $\Gamma_1(Np^r)^{\text{ab}} \rightarrow \Phi_r^{s\text{ ab}}$ , for  $r \geq s > 1$ , is a  $\mathbb{Z}[U]$ -module and this cokernel is isomorphic to the group  $\Gamma_s/\Gamma_r$ . Hence, the group  $\Gamma_s/\Gamma_r$  is a  $\mathbb{Z}[U]$ -module. Observe that  $\Phi_r^r = \Gamma_1(Np^r)$ .

**Lemma 3.4.** *The operator  $U$  acts on  $\Gamma_s/\Gamma_r$  as multiplication by  $p$ .*

*Proof.* The operator  $U$  acts on  $\Gamma_s/\Gamma_r$  as a multiplication by  $p$  if and only if it acts on  $\frac{\Phi_r^{s\text{ ab}}}{\Gamma_1(Np^r)^{\text{ab}}}$  as  $\bar{A} \mapsto \bar{A}^p$ . The operator  $U$  is the composition of the following morphisms:

$$\begin{array}{ccccccc} \frac{\Phi_r^{s\text{ ab}}}{\Gamma_1(Np^r)^{\text{ab}}} & \xrightarrow{V} & \frac{(\Phi_r^s \cap \Gamma^0(p))^{\text{ab}}}{(\Gamma_1(Np^r) \cap \Gamma^0(p))^{\text{ab}}} & \xrightarrow[t-t^{-1}]{} & \frac{\Phi_{r+1}^{s\text{ ab}}}{\Phi_{r+1}^{r\text{ ab}}} & \longrightarrow & \frac{\Phi_r^{s\text{ ab}}}{\Gamma_1(Np^r)^{\text{ab}}} \\ \bar{A} & \longmapsto & \bar{A}^p & \longmapsto & t\bar{A}^p t^{-1} & \longmapsto & t\bar{A}^p t^{-1}. \end{array} \quad (3.3)$$

Let  $\{\alpha_i = \begin{pmatrix} 1 & i \\ 0 & 1 \end{pmatrix}\}_{i=0}^{p-1}$  be the coset representatives of the group  $\Phi_r^s \cap \Gamma^0(p)$  in  $\Phi_r^s$ . If we use these representatives to define the map in (3.3), then the transfer map looks like  $\bar{A} \mapsto \bar{A}^p$ . By Remark 3.1,  $tA^p t^{-1}$  and  $A^p$  represent the same coset mod  $\Gamma_1(Np^r)^{\text{ab}}$  and hence we are done.  $\square$

We would like to define an action of  $\Gamma$  on  $\Phi_r^{s\text{ ab}}$  and call it the nebentypus action. This can be done as follows: For  $r \geq 2$ , if  $\bar{d} \in \Gamma/\Gamma_r$ , then choose an element  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  of  $\text{SL}_2(\mathbb{Z})$  such that  $p^{r+1} \mid c$  and  $p \mid b$ , i.e.,  $\alpha \in \Phi_{r+1}^2 \cap \Gamma^0(p)$ . Such an  $\alpha$  exists, because

$$\Phi_{r+1}^2 \cap \Gamma^0(p) \twoheadrightarrow \Gamma/\Gamma_{r+1} \twoheadrightarrow \Gamma/\Gamma_r.$$

The nebentypus action of  $d$  on  $\Phi_r^{s\text{ ab}}$  is given by conjugation by  $\alpha$ . This action is well-defined because if  $\alpha_1$  and  $\alpha_2$  denote two lifts of  $\bar{d}$ , then  $\alpha_1^{-1}\alpha_2 \in \Gamma_1(Np^{r+1}) \cap \Gamma^0(p) \subseteq \Phi_r^s$  and hence for any element  $x \in \Phi_r^s$ ,  $\alpha_1^{-1}\alpha_2 x \alpha_2^{-1}\alpha_1 = x$  in  $\Phi_r^{s\text{ ab}}$ . Now we shall show that the actions of  $U$  and  $\Gamma$  commutes.

**Lemma 3.5.** *If  $r \geq s > 1$ , the actions of  $U$  and  $\Gamma$  commutes on  $\Phi_r^{s\text{ ab}}$ .*

*Proof.* Though the proof of this lemma is similar to the proof of [1, Lem. 3.5.], here we make some remarks in between, hence we briefly recall its proof. It is easy to see that  $\alpha(\Phi_r^s \cap \Gamma^0(p))\alpha^{-1} = \Phi_r^s \cap \Gamma^0(p)$  for any  $\alpha \in \Phi_{r+1}^1 \cap \Gamma^0(p)$ , since  $\alpha\Phi_r^s\alpha^{-1} \subseteq \Phi_r^s$ . Look at the following commutative diagram

$$\begin{array}{ccc} \Phi_r^{s\text{ ab}} & \xrightarrow{\alpha-\alpha^{-1}} & \Phi_r^{s\text{ ab}} \\ \downarrow V & & \downarrow V \\ (\Phi_r^s \cap \Gamma^0(p))^{\text{ab}} & \xrightarrow{\alpha-\alpha^{-1}} & (\alpha(\Phi_r^s \cap \Gamma^0(p))\alpha^{-1})^{\text{ab}} = (\Phi_r^s \cap \Gamma^0(p))^{\text{ab}} \\ \downarrow t-t^{-1} & & \downarrow \alpha t \alpha^{-1}(-)\alpha t^{-1}\alpha^{-1} \\ \Phi_{r+1}^{s\text{ ab}} & \xrightarrow{\alpha-\alpha^{-1}} & (\alpha\Phi_{r+1}^s\alpha^{-1})^{\text{ab}} = \Phi_{r+1}^{s\text{ ab}} \\ \downarrow & & \downarrow \\ \Phi_r^{s\text{ ab}} & \xrightarrow{\alpha-\alpha^{-1}} & (\alpha\Phi_r^s\alpha^{-1})^{\text{ab}} = \Phi_r^{s\text{ ab}}. \end{array}$$

The top square in the diagram above commutes because if  $\{\gamma_1, \dots, \gamma_q\}$  form a set coset representatives for the group  $\Phi_r^s \cap \Gamma^0(p)$  in  $\Phi_r^s$ , so is the set  $\{\alpha\gamma_1\alpha^{-1}, \dots, \alpha\gamma_q\alpha^{-1}\}$ . Observe that, this diagram commutes even if  $\alpha \in \Phi_r^1 \cap \Gamma^0(p)$ . The last square commutes by the functoriality of the transfer map.

We now prove the commutativity of the middle square, i.e., the map

$$\alpha t \alpha^{-1}(-)\alpha t^{-1}\alpha^{-1} : (\Phi_r^s \cap \Gamma^0(p))^{\text{ab}} \rightarrow \Phi_{r+1}^{s\text{ ab}} \quad (3.4)$$

is  $t - t^{-1}$ . If  $g \in \Phi_r^s \cap \Gamma^0(p)$ , then

$$\alpha t \alpha^{-1} g \alpha t^{-1} \alpha^{-1} = (\alpha t \alpha^{-1} t^{-1}) t g t^{-1} (\alpha t \alpha^{-1} t^{-1})^{-1}.$$

Since  $\alpha t \alpha^{-1} t^{-1} \in \Gamma_1(Np^{r+1})$  for  $\alpha \in \Phi_{r+1}^1 \cap \Gamma^0(p)$ , we see that the conjugation by  $\alpha t \alpha^{-1} t^{-1}$  induces identity on  $\Phi_{r+1}^{s\text{ ab}}$  (because elements of  $\Phi_{r+1}^s$  do commute in  $\Phi_{r+1}^{s\text{ ab}}$ ).

In the above diagram composition of the vertical morphisms on either side are the operator  $U$  and it commutes with the automorphism of  $\Phi_r^s$  induced by conjugation by  $\alpha$ , but we know  $\Gamma$  acts on  $\Phi_r^s$  by conjugation by such elements  $\alpha$ .  $\square$

Observe that the inclusion  $\Gamma_1(Np^r) \subseteq \Phi_r^s$  gives rise to the another transfer map

$$\Phi_r^{s\text{ ab}} \xrightarrow{V} \Gamma_1(Np^r)^{\text{ab}}$$

**Lemma 3.6.** *The transfer morphism  $V : \Phi_r^{s\text{ ab}} \rightarrow \Gamma_1(Np^r)^{\text{ab}}$  commutes with the action of  $U$  on its source and target.*

*Proof.* It suffices to prove that the following diagram (in which  $V$  denotes the transfer maps between various abelianizations) commutes:

$$\begin{array}{ccc}
\Phi_r^{s\text{ ab}} & \xrightarrow{V} & \Phi_r^{r\text{ ab}} \\
\downarrow V & & \downarrow V \\
(\Phi_r^s \cap \Gamma^0(p))^{\text{ab}} & \xrightarrow{V} & (\Phi_r^r \cap \Gamma^0(p))^{\text{ab}} \\
\downarrow t-t^{-1} & & \downarrow t-t^{-1} \\
\Phi_{r+1}^{s\text{ ab}} & \xrightarrow{V} & \Phi_{r+1}^{r\text{ ab}}.
\end{array}$$

The top square in the diagram above commutes because of functoriality of the transfer map. The commutativity of the bottom square follows by the following calculation.

If  $\sigma_d = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , where  $d$  runs through coset representatives of  $\Gamma_r$  in  $\Gamma_s$ , forms a set of coset representatives for the group  $\Gamma_1(Np^r) \cap \Gamma^0(p)$  in  $\Phi_r^s \cap \Gamma^0(p)$ , then so are  $t\sigma_d t^{-1} = \begin{pmatrix} a & b/p \\ cp & d \end{pmatrix}$  for the group  $\Gamma_1(Np^r)$  in  $\Phi_r^s$  (by Remark 2.3).  $\square$

In this section, we have defined the  $U$ -operators for the congruence subgroups  $\{\Phi_r^s\}$  and proved that morphisms between these congruence subgroups respects the action of  $U$  and this action commutes with the action of  $\Gamma$ .

#### 4. ORDINARY PARTS

Let  $A$  be a commutative finite  $\mathbb{Z}_p$ -algebra and  $U$  be a non-zero element of  $A$ . It well-known that  $A$  factors as a product of local rings. Let  $A^{\text{ord}}$  denote the product of all those local rings of  $A$  in which the projection of  $U$  is a unit. This is a flat  $A$ -algebra.

Let  $M$  be any module in the abelian category of  $\mathbb{Z}_p[X]$ -modules which are finitely generated as  $\mathbb{Z}_p$ -modules. In this case, we take  $A$  to be the image of  $\mathbb{Z}_p[X]$  in  $\text{End}_{\mathbb{Z}_p}(M)$ , which is a finite  $\mathbb{Z}_p$ -algebra, and  $U$  to be the image of  $X$ . We define

$$M^{\text{ord}} := M \otimes_A A^{\text{ord}}$$

and call this the ordinary part of  $M$ . Observe that taking ordinary parts is an exact functor on our abelian category.

If we consider  $X$  to be the  $U$ -operator corresponding to the prime  $p$ , we may consider the ordinary part of the  $\mathbb{Z}_p$ -homology of the curve  $Y_r$ , i.e., the module  $(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}$ , which is a  $\Gamma$ -module by Lemma 3.5.

We have the following theorem for the prime  $p = 2$ , which is similar to Theorem 3.1 in [3] for  $p \geq 5$  and for the congruence subgroups  $\Gamma_1(Np^r)$  for  $r \geq 1$ .

**Theorem 4.1.** *If  $r \geq s > 1$ , then the morphism of abelian groups*

$$(\Gamma_1(Np^r) \otimes \mathbb{Z}_p)^{\text{ord}} / \mathfrak{a}_s \rightarrow (\Gamma_1(Np^s) \otimes \mathbb{Z}_p)^{\text{ord}}$$

*is an isomorphism.*

*Proof.* We shall show that

$$(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}} / \mathfrak{a}_s \xrightarrow{\sim} (\Phi_r^{s\text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}} \xrightarrow{\sim} (\Gamma_1(Np^s)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}. \quad (4.1)$$

If  $\pi : \Phi_r^{s\text{ ab}} \rightarrow \Phi_{r-1}^{s\text{ ab}}$  is the morphism induced by the inclusion  $\Phi_r^s \subset \Phi_{r-1}^s$ , then

$$U' \circ \pi = U \in \text{End}(\Phi_r^{s\text{ ab}}), \quad \pi \circ U' = U \in \text{End}(\Phi_{r-1}^{s\text{ ab}}).$$

By Lemma 3.2, we have the following diagram

$$\begin{array}{ccc}
 \Phi_{r-1}^{s \text{ ab}} & \xrightarrow{\pi} & \Phi_{r-2}^{s \text{ ab}} \\
 \downarrow U' & \searrow U & \downarrow U' \\
 \Phi_r^{s \text{ ab}} & \xrightarrow{\pi} & \Phi_{r-1}^{s \text{ ab}} \\
 \downarrow U' & \searrow U & \downarrow U' \\
 \Phi_{r+1}^{s \text{ ab}} & \xrightarrow{\pi} & \Phi_r^{s \text{ ab}}.
 \end{array}$$

The existence of  $U'$  implies that upon tensoring over  $\mathbb{Z}_p$  and taking the ordinary parts  $\pi$  induces an isomorphism (and  $U^{-1} \circ U'$  provides an inverse to  $\pi$ )

$$(\Phi_r^{s \text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}} = (\Phi_{r-1}^{s \text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}}.$$

By induction on  $r$ , we obtain the second isomorphism in (4.1), i.e.,

$$(\Phi_r^{s \text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}} = (\Phi_s^{s \text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}} = (\Gamma_1(Np^s)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}.$$

To prove the first isomorphism consider the short exact sequence

$$1 \rightarrow \Gamma_1(Np^r)^{\text{ab}}/\mathfrak{a}_s \rightarrow \Phi_r^{s \text{ ab}} \rightarrow (\Gamma_s/\Gamma_r) \rightarrow 1.$$

By tensoring this sequence with  $\mathbb{Z}_p$  and then taking the ordinary parts to obtain

$$1 \rightarrow (\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}/\mathfrak{a}_s \rightarrow (\Phi_r^{s \text{ ab}} \otimes \mathbb{Z}_p)^{\text{ord}} \rightarrow (\Gamma_s/\Gamma_r)^{\text{ord}} \rightarrow 1,$$

because  $\mathbb{Z}_p$  is flat as a  $\mathbb{Z}$ -module and ordinary parts preserves exactness. By Lemma 3.4, the operator  $U$  acts on  $\Gamma_s/\Gamma_r$  as multiplication by  $p$  and so is a nilpotent operator, as  $\Gamma_s/\Gamma_r$  is a  $p$ -torsion group. Thus  $(\Gamma_s/\Gamma_r)^{\text{ord}} = 0$ , and hence the Theorem follows.  $\square$

## 5. IWASAWA MODULES

We have the following inverse system indexed by natural numbers  $r \geq 2$ ,

$$\cdots \rightarrow \Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p \rightarrow \cdots \rightarrow \Gamma_1(Np^2)^{\text{ab}} \otimes \mathbb{Z}_p.$$

Define the Iwasawa module by

$$\mathbf{W} := \varprojlim_{r \geq 2} \Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p.$$

The profinite group  $\Gamma$  acts on the  $\mathbb{Z}_p$ -module  $\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p$  through its finite quotient  $\Gamma/\Gamma_r$ . Thus the Iwasawa module  $\mathbf{W}$  becomes a module over the completed group algebra

$$\Lambda := \mathbb{Z}_p[[\Gamma]] = \varprojlim_{r \geq 2} \mathbb{Z}_p[\Gamma/\Gamma_r].$$

Though the Iwasawa module  $\mathbf{W}$  is difficult to understand, by Theorem 4.1, we can understand the ordinary part of  $\mathbf{W}$  very well. To make the statement clear, let us slightly abstract the situation.

Let  $\{M_r\}_{r \geq 2}$  be a system of  $\Lambda$ -modules. Further, assume that each  $M_r$  is point-wise fixed by  $\Gamma_r$  and hence a module over  $\Lambda/\mathfrak{a}_r\Lambda = \mathbb{Z}_p[\Gamma/\Gamma_r]$ . For each  $r \geq s \geq 2$ , we have a map  $M_r \rightarrow M_s$  such that it factors via

$$M_r/\mathfrak{a}_s M_r \rightarrow M_s.$$

Define  $W := \varprojlim_{r \geq 2} M_r$ . We have a collection of maps  $W \rightarrow M_r$  for each  $r \geq 2$  and they factor as

$$W/\mathfrak{a}_r W \rightarrow M_r.$$

**Proposition 5.1.** *Assume that each  $M_r$  is  $p$ -adically complete and for each  $r \geq s \geq 2$ ,  $M_r/\mathfrak{a}_s M_r \rightarrow M_s$  is an isomorphism. Then  $W/\mathfrak{a}_s W \twoheadrightarrow M_s$  is an isomorphism.*

*Proof.* For  $r \geq s \geq 2$ , the maps  $M_r \rightarrow M_s$  are surjective, and hence the canonical map from  $W \rightarrow M_s$  is also surjective. We shall show that the kernel is  $\mathfrak{a}_s W$ .

Since each  $M_r$  is  $p$ -adically complete and is point-wise fixed by  $\Gamma_r$ , we have  $M_r = \varprojlim_i M_r/\mathfrak{n}^i M_r$ , where  $\Gamma_r = \langle \gamma_r \rangle$  and  $\mathfrak{n} = (\gamma_r - 1, p)$ , i.e., each  $M_r$  is  $\mathfrak{n}$ -adically complete.

By induction on  $i$ , we get that  $\gamma_s^{p^i} - 1/\gamma_s - 1 \in (\gamma_s - 1, p)^i$ . In particular, we have  $\gamma_2^{p^{r-2}} - 1/\gamma_2 - 1 \in \mathfrak{m} = (\gamma_2 - 1, p)$ . Hence,  $\mathfrak{m}^{p^{r-2}} \subseteq ((\gamma_2 - 1)^{p^{r-2}}, p) \subseteq \mathfrak{n} \subseteq \mathfrak{m} = (\mathfrak{a}_2, p)$ . As a result, we see that each  $M_r$  is  $\mathfrak{m}$ -adically complete, since they are  $\mathfrak{n}$ -adically complete. Once we have that each  $M_r$  is  $\mathfrak{m}$ -adically complete, then proving the injectivity of the above map is quite similar to the proof of [1, Prop. 5.1].  $\square$

The following Theorem is an immediate consequence of the Proposition above.

**Theorem 5.2.** *For any  $r \geq 2$ , we have*

$$\mathbf{W}^{\text{ord}}/\mathfrak{a}_r \mathbf{W}^{\text{ord}} \cong (\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}$$

*is the  $\Gamma_r$ -co-invariants of  $\mathbf{W}^{\text{ord}}$ .*

*Proof.* This follows from Proposition 5.1 together with Theorem 4.1  $\square$

The above Theorem is a key ingredient for the proof of the Theorem 5.3. The  $\Lambda$ -module  $\mathbf{W}^{\text{ord}}$  is a compact  $\Lambda$ -module (under the projective limit of the  $p$ -adic topologies on each module  $\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p$ , which are free of finite rank over  $\mathbb{Z}_p$ , and also since  $\mathbf{W}^{\text{ord}}$  is a direct factor of  $\mathbf{W}$ ).

Furthermore, Theorem 5.2 implies that the projective limit topology on  $\mathbf{W}^{\text{ord}}$  coincides with its  $\mathfrak{m}$ -adic topology (where  $\mathfrak{m} = (\mathfrak{a}_2, p) \subset \Lambda$  denotes the maximal ideal of  $\Lambda$ ), because the kernels of the projections  $\Lambda \rightarrow \mathbb{Z}_p/p^r \mathbb{Z}_p[\Gamma/\Gamma_r]$  are co-final with the sequence of ideals  $\mathfrak{m}^r$  in  $\Lambda$ . Thus  $\mathbf{W}^{\text{ord}}$  is a  $\Lambda$ -module, compact in its  $\mathfrak{m}$ -adic topology such that

$$\mathbf{W}^{\text{ord}}/\mathfrak{m} = \mathbf{W}^{\text{ord}}/(\mathfrak{a}_2, p) = (\Gamma_1(Nq)^{\text{ab}} \otimes \mathbb{Z}_p/p)^{\text{ord}}$$

is a finite dimensional  $\mathbb{Z}_p/p\mathbb{Z}_p$ -module, of dimension  $d$  (say). By Nakayama's lemma, we have that  $\mathbf{W}^{\text{ord}}$  is a finitely generated  $\Lambda$ -module with a minimal generating set has cardinality  $d$ . We have the following theorem for the prime  $p = 2$ , which is similar to the main theorem in [3] for  $p \geq 5$ .

**Theorem 5.3 (Main Result).** *The module  $\mathbf{W}^{\text{ord}}$  is free of finite rank over  $\Lambda$ , and its  $\Lambda$ -rank is equal to  $d$ .*

As a corollary, we see that, for  $r \geq 2$ , the  $\mathbb{Z}_p$ -rank of the free  $\mathbb{Z}_p$ -module  $(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}$  is  $d$ . In particular, these  $\mathbb{Z}_p$ -ranks are independent of  $p^r$  in the level. Using this result, we have proved control theorems for ordinary 2-adic families of modular forms, see [2]. The classical versions of this theorem for  $p = 2, 3$  do not seem to be explicitly available in the literature, though an adèlic version of it can be found in [4].



## 6. REFLEXIVITY RESULTS

To prove Theorem 5.3, it enough to show that  $\mathbf{W}^{\text{ord}}$  is a reflexive  $\Lambda$ -module [5]. We show this by considering the duality theory of the modules  $(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p)^{\text{ord}}$  and showing that they are reflexive as  $\mathbb{Z}_p[\Gamma/\Gamma_r]$ -modules. Now, we briefly recall the notion of reflexivity, and the necessary results. For more details, see [1, §6].

Suppose that  $R$  is a commutative ring,  $G$  is a finite group, and  $M$  is a left  $R[G]$ -module. Let  $N$  be any  $R$ -module. Then  $\text{Hom}_R(M, N)$  is a right  $R[G]$ -module, via

$$(f * g)(x) := f(g^{-1}x).$$

Since the ring  $R[G]$  is naturally a bi-module over itself, via the ring multiplication,  $R[G] \otimes_R N$  is an  $R[G]$ -bi-module, making  $\text{Hom}_{R[G]}(M, R[G] \otimes_R N)$  a right  $R[G]$ -module.

**Lemma 6.1** ([1]). *There is a canonical isomorphism of right  $R[G]$ -modules*

$$\text{Hom}_R(M, N) = \text{Hom}_{R[G]}(M, R[G] \otimes_R N).$$

In particular, when  $N = R$ , we see that  $M^*$  and  $\text{Hom}_{R[G]}(M, R[G])$  are canonically isomorphic as right  $R[G]$ -modules, where  $M^* := \text{Hom}_R(M, R)$ , the  $R$ -dual of  $M$ . The analogue of the above lemma for right  $R[G]$ -modules is also true. Hence,

$$\text{Hom}_R(M^*, R) = \text{Hom}_{R[G]}(M^*, R[G]).$$

are canonically isomorphic as left  $R[G]$ -modules.

By definition of  $M^*$ , there is a natural morphism of  $R$ -modules  $M \rightarrow \text{Hom}_R(M^*, R)$ , which is also a morphism of left  $R[G]$ -modules. If this natural morphism of  $R$ -modules is an isomorphism, then we say that  $M$  is a reflexive  $R$ -module. Thus we have proved:

**Lemma 6.2.** *If  $M$  is a left  $R[G]$ -module which is reflexive as an  $R$ -module, then  $M$  is reflexive as an  $R[G]$ -module.*

The crux of this Lemma is that to check the reflexivity of  $R[G]$ -module  $M$  over  $R[G]$ , it is enough to check it over  $R$ . Now we need to understand how to use the reflexivity results for modules over  $\mathbb{Z}_p[\Gamma/\Gamma_r]$  to show the reflexivity of  $\mathbf{W}^{\text{ord}}$  as a  $\Lambda$ -module.

## 7. PROOF OF THEOREM 5.3

For  $r \geq 2$ , and  $N \in \mathbb{N}$  such that  $(p, N) = 1$ . We define the cohomology of  $Y_r$  as

$$H^1(Y_r, \mathbb{Z}_p) := \text{Hom}_{\mathbb{Z}}(\Gamma_1(Np^r)^{\text{ab}}, \mathbb{Z}_p) = \text{Hom}_{\mathbb{Z}_p}(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p, \mathbb{Z}_p).$$

The ring  $\Lambda$  acts on  $\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p$  through its quotient  $\Lambda_r := \Lambda/\mathfrak{a}_r = \mathbb{Z}_p[\Gamma/\Gamma_r]$ . More generally, if  $r \geq s > 1$  then the ring  $\Lambda_s$  is equal to  $\Lambda_r/\mathfrak{a}_s$ , hence  $\Lambda_r \twoheadrightarrow \Lambda_s$ . Thus we get the following sequence of morphisms of  $\Lambda_r$ -modules

$$\begin{aligned} \text{Hom}_{\Lambda_r}(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p, \Lambda_r) &\rightarrow \text{Hom}_{\Lambda_r}(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p, \Lambda_r)/\mathfrak{a}_s \\ &\rightarrow \text{Hom}_{\Lambda_r}(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p, \Lambda_s) = \text{Hom}_{\Lambda_s}(\Gamma_1(Np^r)^{\text{ab}} \otimes \mathbb{Z}_p/\mathfrak{a}_s, \Lambda_s). \end{aligned}$$

If  $M$  is any  $\mathbb{Z}_p[U]$ -module, which is finitely generated as a  $\mathbb{Z}_p$ -module, then so is the  $\mathbb{Z}_p$ -dual  $M^* := \text{Hom}_{\mathbb{Z}_p}(M, \mathbb{Z}_p)$ . Here  $M^*$  is a  $\mathbb{Z}_p[U]$ -module via the dual action of  $U$ . Clearly  $(M^*)^{\text{ord}} = (M^{\text{ord}})^*$ , i.e., taking ordinary parts commutes with duals. Thus

we may take ordinary parts of the above diagram of homomorphisms to obtain a diagram

$$\begin{aligned} \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r) &\longrightarrow \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r)/\mathfrak{a}_s \\ &\longrightarrow \mathrm{Hom}_{\Lambda_s}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_s). \end{aligned}$$

By Theorem 4.1, we have

$$\begin{aligned} \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r) &\longrightarrow \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r)/\mathfrak{a}_s \\ &\longrightarrow \mathrm{Hom}_{\Lambda_s}((\Gamma_1(Np^s)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_s). \end{aligned}$$

**Lemma 7.1.** *The morphism*

$$\mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r)/\mathfrak{a}_s \rightarrow \mathrm{Hom}_{\Lambda_s}((\Gamma_1(Np^s)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_s)$$

*is an isomorphism.*

*Proof.* By Lemma 3.6, we may restrict  $V$  to the ordinary parts to obtain a morphism

$$(\Phi_r^{s\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}} \xrightarrow{V} (\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}.$$

Look at the following commutative diagram

$$\begin{array}{ccc} \mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p) & \xrightarrow{\sim} & \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r) \\ \downarrow V^* & & \downarrow \\ \mathrm{Hom}_{\mathbb{Z}_p}((\Phi_r^{s\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p) & & \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r)/\mathfrak{a}_s \\ \downarrow \wr & & \downarrow \\ \mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Np^s)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p) & \xrightarrow{\sim} & \mathrm{Hom}_{\Lambda_s}((\Gamma_1(Np^s)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_s) \end{array}$$

in which the two horizontal isomorphisms are those provided by Lemma 6.2, because  $\Lambda_r = \mathbb{Z}_p[\Gamma/\Gamma_r]$ . The first vertical map  $V^*$  is the dual morphism of  $V$  and the two vertical isomorphisms are a part of Theorem 4.1 and its proof.

Now to prove the Lemma, it suffices to prove that

$$\mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p) \xrightarrow{V^*} \mathrm{Hom}_{\mathbb{Z}_p}((\Phi_r^{s\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p) \quad (7.1)$$

is surjective and  $\ker(V^*) = \mathfrak{a}_s \mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p)$ .

Since  $V$  commutes with  $U$  and taking ordinary parts commutes with taking  $\mathbb{Z}_p$ -duals, the morphism in (7.1) is the ordinary part of the morphism

$$\mathrm{Hom}_{\mathbb{Z}_p}(\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p, \mathbb{Z}_p) \xrightarrow{V^*} \mathrm{Hom}_{\mathbb{Z}_p}(\Phi_r^{s\mathrm{ab}} \otimes \mathbb{Z}_p, \mathbb{Z}_p). \quad (7.2)$$

Now, it suffices to show that the morphism  $V^*$  in (7.2) is surjective with kernel equal to  $\mathfrak{a}_s \mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p), \mathbb{Z}_p)$ , since taking ordinary parts is also exact and commutes with the action of  $\Gamma$ . But, this claim was proved in [1, §8] for torsion-free groups  $H$  and  $G$  such that  $H \subseteq G$ , instead of  $\Gamma_1(Np^r) \subseteq \Phi_r^s$ . Observe that, when  $p = 2$  and  $r \geq s \geq 2$ , the groups  $\Gamma_1(Nq)$  and  $\Phi_r^s$  are torsion-free, since  $\Gamma_1(M)$  is torsion free for all  $M \geq 3$ .  $\square$

We now have all the information needed to prove Theorem 5.3. Consider the chain of  $\Lambda$ -modules

$$\cdots \longrightarrow \mathrm{Hom}_{\Lambda_r}((\Phi_r^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r) \longrightarrow \cdots \longrightarrow \mathrm{Hom}_{\mathbb{Z}_p}((\Gamma_1(Nq)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \mathbb{Z}_p).$$

**Lemma 7.2.** *There is a canonical isomorphism*

$$\mathrm{Hom}_{\Lambda}(\mathbf{W}^{\mathrm{ord}}, \Lambda) = \varprojlim_r \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r).$$

*Proof.* We have the following canonical isomorphisms

$$\mathrm{Hom}_{\Lambda}(\mathbf{W}^{\mathrm{ord}}, \Lambda) = \varprojlim_r \mathrm{Hom}_{\Lambda_r}(\mathbf{W}^{\mathrm{ord}}/\mathfrak{a}_r, \Lambda_r) = \varprojlim_r \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r),$$

where the last isomorphism follows from the Theorem 5.2.  $\square$

**Proposition 7.3.** *For  $r > 1$ , there is a canonical isomorphism*

$$\mathrm{Hom}_{\Lambda}(\mathbf{W}^{\mathrm{ord}}, \Lambda)/\mathfrak{a}_r = \mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r).$$

*Proof.* The claim follows from Lemma 7.1, Lemma 7.2, and Lemma 5.1.  $\square$

**Theorem 7.4.** *The module  $\mathbf{W}^{\mathrm{ord}}$  is  $\Lambda$ -free.*

*Proof.* Since any finitely generated reflexive  $\Lambda$ -module is free, it suffices to show that  $\mathbf{W}^{\mathrm{ord}}$  is a reflexive  $\Lambda$ -module. By Proposition 7.3 and Lemma 6.2, we have:

$$\begin{aligned} \mathrm{Hom}_{\Lambda}(\mathrm{Hom}_{\Lambda}(\mathbf{W}^{\mathrm{ord}}, \Lambda), \Lambda) &= \varprojlim_r \mathrm{Hom}_{\Lambda}(\mathrm{Hom}_{\Lambda_r}(\mathbf{W}^{\mathrm{ord}}, \Lambda)/\mathfrak{a}_r, \Lambda_r) \\ &= \varprojlim_r \mathrm{Hom}_{\Lambda_r}(\mathrm{Hom}_{\Lambda_r}((\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}}, \Lambda_r), \Lambda_r) \\ &= \varprojlim_r (\Gamma_1(Np^r)^{\mathrm{ab}} \otimes \mathbb{Z}_p)^{\mathrm{ord}} = \mathbf{W}^{\mathrm{ord}}. \end{aligned}$$

$\square$

## 8. ACKNOWLEDGEMENTS

The author thanks Prof. M. Emerton for his encouragement to work out the details in [1] for the prime  $p = 2$ . These results turned out to be quite useful in [2].

## REFERENCES

- [1] Emerton, Matthew. A new proof of a theorem of Hida. *Internat. Math. Res. Notices* 1999, no. 9, 453–472.
- [2] Ghate, Eknath; Kumar, Narasimha. Control theorems for ordinary 2-adic families of modular forms. To appear in the *Proceedings of the International Colloquium on Automorphic Representations and L-functions*, TIFR, 2012.
- [3] Hida, Haruzo. Galois representations into  $\mathrm{GL}_2(\mathbb{Z}_p[[X]])$  attached to ordinary cusp forms. *Invent. Math.* 85 (1986), no. 3, 545–613.
- [4] Hida, Haruzo. On  $p$ -adic Hecke algebras for  $\mathrm{GL}_2$  over totally real fields. *Ann. of Math.* (2) 128 (1988), no. 2, 295–384.
- [5] Neukirch, Jürgen; Schmidt, Alexander; Wingberg, Kay. *Cohomology of number fields*. Second edition. Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], 323. Springer-Verlag, Berlin, 2008.

*E-mail address:* `narasimha.kumar@iith.ac.in`

DEPARTMENT OF MATHEMATICS, INDIAN INSTITUTE OF TECHNOLOGY HYDERABAD, ORDINANCE FACTORY ESTATE, YEDDUMAILARAM 502205, TELANGANA, INDIA.